

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

JUSTIN ANDREW THOMPSON,

Plaintiff,

v.

CAROLYN W. COLVIN,
Commissioner of Social Security,

Defendant.

No. CV-14-00028-JTR

REPORT AND RECOMMENDATION
TO GRANT DEFENDANT'S
MOTION FOR SUMMARY
JUDGMENT

BEFORE THE COURT are cross-Motions for Summary Judgment. ECF Nos. 13, 14. Attorney Lora Lee Stover represents Plaintiff, and Special Assistant United States Attorney Jeffrey E. Staples represents the Commissioner of Social Security (Defendant). The parties have not consented to proceed before a magistrate judge. Accordingly, **IT IS RECOMMENDED** Defendant's Motion for Summary Judgment be **GRANTED**, and Plaintiff's Motion for Summary Judgment be **DENIED**.

JURISDICTION

On September 2, 2010, Plaintiff filed both a Title II application for a period of disability and disability insurance benefits and a Title XVI application for supplemental security income. Tr. 26; 194. In both applications, Plaintiff alleged disability beginning November 30, 2008. Tr. 26; 53. Plaintiff reported that he was unable to work due to degenerative disc disease, muscle spasms and chronic pain. Tr. 197. The claims were denied initially and on reconsideration, and Plaintiff

1 requested an administrative hearing. Tr. 26; 135-82.

2 On March 8, 2012, Administrative Law Judge Moira Ausems presided over
3 a hearing at which vocational expert Trevor Duncan and Plaintiff, who was
4 represented by counsel, testified. Tr. 51-96. On April 20, 2012, the ALJ issued a
5 decision finding Plaintiff not disabled. Tr. 26-39. The Appeals Council declined
6 review. Tr. 1-4. The instant matter is before this court pursuant to 42 U.S.C. §
7 405(g).

8 **STATEMENT OF FACTS**

9 The facts have been presented in the administrative hearing transcript, the
10 ALJ's decision, and the briefs of the parties and, thus, they are only briefly
11 summarized here. At the time of the hearing, Plaintiff was living with his
12 girlfriend and her two children who were twelve and thirteen years old. Tr. 65-66.
13 Plaintiff testified that his girlfriend does all the shopping, cooking and cleaning,
14 along with help from her kids. Tr. 66; 74. Plaintiff also testified that he sometimes
15 accompanies his girlfriend shopping, but he has to leave the store after 20 minutes
16 due to back pain. Tr. 75.

17 Plaintiff's past work includes construction worker, material handler, grounds
18 keeper and punch press operator. Tr. 84. Plaintiff estimated that he had been fired
19 from "more than half" his jobs because he has had difficulties getting along with
20 coworkers and supervisors. Tr. 75.

21 Plaintiff testified that he is unable to work due to pain in his upper back and
22 neck. Tr. 57. He explained that it is hard for him to hold his head up, he has
23 shooting pains in his right arm, and he has headaches every day. Tr. 58-60.

24 **STANDARD OF REVIEW**

25 The ALJ is responsible for determining credibility, resolving conflicts in
26 medical testimony, and resolving ambiguities. *Andrews v. Shalala*, 53 F.3d 1035,
27 1039 (9th Cir. 1995). The ALJ's determinations of law are reviewed *de novo*, with
28 deference to a reasonable construction of the applicable statutes. *McNatt v. Apfel*,

201 F.3d 1084, 1087 (9th Cir. 2000). The decision of the ALJ may be reversed only if it is not supported by substantial evidence or if it is based on legal error. *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999). Substantial evidence is defined as being more than a mere scintilla, but less than a preponderance. *Id.* at 1098. Put another way, substantial evidence is such relevant evidence as a reasonable mind might accept as adequate to support a conclusion. *Richardson v. Perales*, 402 U.S. 389, 401 (1971). If the evidence is susceptible to more than one rational interpretation, the court may not substitute its judgment for that of the ALJ. *Tackett*, 180 F.3d at 1097; *Morgan v. Commissioner of Social Sec. Admin.*, 169 F.3d 595, 599 (9th Cir. 1999). Nevertheless, a decision supported by substantial evidence will still be set aside if the proper legal standards were not applied in weighing the evidence and making the decision. *Browner v. Secretary of Health and Human Services*, 839 F.2d 432, 433 (9th Cir. 1988). If substantial evidence supports the administrative findings, or if conflicting evidence supports a finding of either disability or non-disability, the ALJ's determination is conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-1230 (9th Cir. 1987).

SEQUENTIAL PROCESS

The Commissioner has established a five-step sequential evaluation process for determining whether a person is disabled. 20 C.F.R. §§ 404.1520(a), 416.920(a); see *Bowen v. Yuckert*, 482 U.S. 137, 140-42 (1987). In steps one through four, the burden of proof rests upon the claimant to establish a prima facie case of entitlement to disability benefits. *Tackett*, 180 F.3d at 1098-99. This burden is met once a claimant establishes that a physical or mental impairment prevents him from engaging in his previous occupation. 20 C.F.R. §§ 404.1520(a)(4), 416.920(a)(4). If a claimant cannot do his past relevant work, the ALJ proceeds to step five, and the burden shifts to the Commissioner to show that (1) the claimant can make an adjustment to other work; and (2) specific jobs exist in the national economy which claimant can perform. *Batson v. Commissioner of*

1 *Social Sec. Admin.*, 359 F.3d 1190, 1193-94 (2004). If a claimant cannot make an
 2 adjustment to other work in the national economy, a finding of “disabled” is made.
 3 20 C.F.R. §§ 404.1520(a)(4)(I-v), 416.920(a)(4)(I-v).

4 **ALJ’S FINDINGS**

5 At step one of the sequential evaluation process, the ALJ found Plaintiff has
 6 not engaged in substantial gainful activity since November 30, 2008, his
 7 application date. Tr. 28. At step two, the ALJ found Plaintiff suffered from the
 8 severe impairments of degenerative disc disease of the cervical spine with
 9 cervicalgia; right shoulder AC joint degenerative joint disease, mild, with
 10 tendinosis and bursitis; lumbar strain; depressive disorder not otherwise specified;
 11 and antisocial personality disorder. Tr. 28. At step three, the ALJ found Plaintiff’s
 12 impairments, alone or in combination, do not meet or medically equal the severity
 13 of one of the listed impairments in 20 C.F.R. Part 404, Subpart P, Appendix 1 (20
 14 C.F.R. §§ 416.920(d), 416.925 and 416.926). Tr. 33. The ALJ found Plaintiff has
 15 the residual functional capacity to perform light work, with some exertional and
 16 non-exertional limitations:

17 [N]o more than occasional postural activities with the exception of no
 18 climbing of ladders, ropes or scaffolds and no crawling. He can
 19 perform no more than occasional overhead reaching with the left
 20 upper extremity and no overhead reaching with the right upper
 21 extremity. He should avoid even moderate exposure to vibration and
 22 hazards, including commercial driving. He is capable of simple,
 23 repetitive tasks and no more than superficial contact with coworkers
 and the general public.

24 Tr. 34. At step four, the ALJ found that Plaintiff is unable to perform past relevant
 25 work. Tr. 37. The ALJ determined that considering Plaintiff’s age, education,
 26 work experience and residual functional capacity, jobs exist in significant numbers
 27 that Plaintiff can perform, such as parking lot attendant, small products assembler,
 28 and office cleaner. Tr. 38. As a result, the ALJ concluded that Plaintiff has not

1 been disabled within the meaning of the Social Security Act at any time from the
2 date the application was filed through the date of the decision. Tr. 39.

3 ISSUES

4 Plaintiff contends that the ALJ erred by (1) finding Plaintiff was not
5 credible; (2) improperly weighing the medical evidence; (3) relying upon an
6 incomplete hypothetical posed to the vocational expert.¹ ECF No. 13 at 9.

7 A. Credibility

8 Plaintiff contends that the ALJ erred by finding he had little credibility
9 because Plaintiff's medical providers did not question his veracity and because
10 objective evidence exists in the record to corroborate Plaintiff's symptoms. ECF
11 No. 13 at 13.

12 In deciding whether to admit a claimant's subjective symptom testimony, the
13 ALJ must engage in a two-step analysis. *Smolen v. Chater*, 80 F.3d 1273, 1281
14 (9th Cir. 1996). Under the first step, the claimant must produce objective medical
15 evidence of underlying "impairment," and must show that the impairment, or a
16 combination of impairments, that could reasonably be expected to produce pain or
17 other symptoms. *Smolen*, 80 F.3d at 1281-82; *see Cotton v. Bowen*, 799 F.2d
18 1403, 1405 (9th Cir. 1986). If the first step is satisfied and if no evidence exists of
19 malingering, then the ALJ, under the second step, may reject the claimant's
20 testimony about severity of symptoms with "specific findings stating clear and
21 convincing reasons for doing so." *Smolen*, 80 F.3d at 1284. "[Q]uestions of
22 credibility and resolutions of conflicts in the testimony are functions solely of the
23 Secretary." *Sample v. Schweiker*, 694 F.2d 639, 642 (9th Cir. 1982) (*quoting*
24 *Waters v. Gardner*, 452 F.2d 855 n.7 (9th Cir. 1971)). However, if malingering is
25 established, the adjudicator is not bound by the "clear and convincing" standard.

26
27 ¹Plaintiff's issue statement includes five issues. For clarity and organization,
28 the court consolidated Plaintiff's listed issues into three issues.

1 *See, e.g., Rollins v. Massanari*, 261 F.3d 853, 857 (9th Cir. 2001).

2 In determining a claimant's credibility, an ALJ may consider, among other
3 factors, inconsistencies between the claimant's testimony and the claimant's daily
4 activities, conduct and/or work record. *Light v. Social Sec. Admin.*, 119 F.3d 789,
5 792 (9th Cir. 1997). "If the ALJ's credibility finding is supported by substantial
6 evidence in the record, [the court] may not engage in second-guessing." *Thomas v.*
7 *Barnhart*, 278 F.3d 947, 959 (9th Cir. 2002).

8 In this case, the ALJ found that Plaintiff had little credibility. The ALJ
9 provided an extensive discussion of the reasons supporting the negative credibility
10 conclusion. Tr. 36. For example, the ALJ listed several specific instances of
11 Plaintiff's inconsistent assertions to his medical providers, instances revealing
12 Plaintiff's repeated drug-seeking behavior, chart notes indicating Plaintiff appeared
13 to exaggerate symptoms, specific references to records that reveal a lack of
14 objective evidence to support his claims, and citation to specific references that
15 reveal Plaintiff's daily activities contradict his allegations of disability. Tr. 36.

16 Plaintiff argues that the ALJ's credibility determination lacked "convincing
17 evidence," and "none of Plaintiff's providers have questioned his veracity." ECF
18 No. 13 at 13. As Defendant noted, Plaintiff fails to challenge any of the ALJ's
19 reasons underlying the credibility determination. ECF No. 14 at 3. The court
20 ordinarily will not consider matters on appeal that are not specifically and
21 distinctly argued in an appellant's opening brief. *See Carmickle v. Comm'r Soc.*
22 *Sec. Admin.*, 533 F.3d 1155, 1161 n.2 (9th Cir. 2008). Moreover, the Ninth Circuit
23 has repeatedly admonished that the court will not "manufacture arguments for an
24 appellant" and therefore will not consider claims that were not actually argued in
25 appellant's opening brief. *Greenwood v. Fed. Aviation Admin.*, 28 F.3d 971, 977
26 (9th Cir. 1994).

27 Plaintiff's briefing of this issue is inadequate. However, even if Plaintiff's
28 briefing was sufficient, the claim fails. The evidence supporting the ALJ's

analysis contradicts Plaintiff's allegations. The ALJ's reasons for finding diminished credibility are based upon proper factors and are clear and convincing, and supported by the record. *See, Tonapetyan v. Halter*, 242 F.3d 1144, 1148 (9th Cir. 2001)(ALJ properly considered inconsistent statements and evidence of exaggeration to medical providers in finding claimant had little credibility); *see also Thomas*, 278 F.3d at 959 (inconsistent reports of drug use erodes credibility); *Morgan*, 169 F.3d at 600 (conflicts between a Plaintiff's testimony of subjective complaints and the objective medical evidence can undermine credibility); *Light*, 119 F.3d at 792 (ALJ properly considers inconsistencies between the claimant's testimony and the claimant's daily activities, conduct and/or work record); *see Tr.* 290; 292; 331; 355; 382; 430; 433; 451; 476-83; 493; 505-07; 586.

Where, as here, the ALJ's credibility findings are specific, "clear and convincing," and supported by substantial evidence, they are conclusive and will not be disturbed. *Sprague*, 812 F.2d at 1229-1230. The ALJ did not err in finding Plaintiff lacked credibility.

B. Medical Evidence

Plaintiff contends that the ALJ erred in weighing the medical evidence. ECF No. 13 at 11-13.

In weighing medical source opinions in Social Security cases, the Ninth Circuit distinguishes among three types of physicians: (1) treating physicians, who actually treat the claimant; (2) examining physicians, who examine but do not treat the claimant; and (3) non-examining physicians, who neither treat nor examine the claimant. *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1995).

Generally, more weight should be given to the opinion of a treating physician than to the opinions of non-treating physicians. *Id.* Similarly, an examining physician's opinion is generally entitled to more weight than a non-examining physician's opinion. *Id.* When a conflict exists between the opinions of a treating physician and an examining physician, the ALJ may disregard the

1 opinion of the treating physician only if he sets forth "specific and legitimate
2 reasons supported by substantial evidence in the record for doing so." *Id.*

3 **1. Handling Restrictions**

4 First, Plaintiff contends that the ALJ erred by failing to include in the RFC
5 the handling limitations assessed by orthopedist William M. Shanks, M.D. ECF
6 No. 13 at 11-12.

7 Dr. Shanks examined Plaintiff on May 27, 2010, and he completed a
8 narrative report as well as a DSHS Physical Functional Evaluation Form. Tr. 286-
9 91. Dr. Shanks reviewed an MRI and x-rays of Plaintiff's thoracic spine and right
10 shoulder. Tr. 290-91. He diagnosed Plaintiff with tendonitis of the rotator cuff
11 and degenerative changes of the right shoulder AC joint, with possible
12 impingement syndrome, along with possible nerve root irritation in the cervical
13 area. Tr. 291. On the DSHS form, Dr. Shanks indicated that Plaintiff had
14 restricted mobility, agility or flexibility in handling, pulling, pushing, reaching. Tr.
15 287. Dr. Shanks concluded that Plaintiff's overall work level as "light or
16 sedentary." Tr. 287

17 The ALJ noted that Dr. Shanks opined that Plaintiff was capable of light or
18 sedentary work, but the ALJ gave more weight to the opinion that Plaintiff could
19 perform light work with no handling restrictions from non-examining consultative
20 physicians Alfred Scottolini, M.D., and Howard Platter, M.D. Tr. 37; 98-111; 115-
21 34. Plaintiff's RFC included the limitation that he could perform "no more than
22 occasional overhead reaching with the upper extremity and no overhead reaching
23 with the right upper extremity." Tr. 34.

24 Plaintiff argues that the ALJ erred by not specifically adopting Dr. Shanks'
25 opinion that Plaintiff had "limitations in performing reaching, push/pull
26 movements and handling." ECF No. 13 at 11-12. In disability benefits cases,
27 physicians may render medical, clinical opinions, or they may render opinions on
28 the ultimate issue of disability - the claimant's ability to perform work. *Reddick v.*

1 *Chater*, 157 F.3d 715, 725 (9th Cir. Cal. 1998). An ALJ is not required to give
2 controlling weight to an opinion that is effectively a vocational rather than a
3 medical opinion, because the determination of disability is reserved for the ALJ.
4 *See Magallanes v. Bowen*, 881 F.2d 747, 751 (9th Cir. 1989) (treating physician's
5 opinion is not necessarily conclusive as to the ultimate issue of disability). An
6 ALJ's findings need only be consistent with a doctor's assessed limitations, not
7 identical to the limitations. *Turner v. Comm'r of Soc. Sec.*, 613 F.3d 1217, 1223
8 (9th Cir. 2010).

9 In this case, Dr. Shanks completed a form that indicated he believed Plaintiff
10 was capable of sedentary or light work, but the form was ambiguous about the
11 doctor's opinion regarding the extent of Plaintiff's handling limitations. The check-
12 the-box form directed: "check any of the following areas that has restricted
13 mobility, agility, or flexibility." Tr. 287. At issue is checked box entitled
14 "handling."² Tr. 287. Dr. Shanks provided no additional information about the
15 extent of the handling restrictions, or how often or seldom Plaintiff was capable of
16 handling during a workday. By contrast, neither Dr. Scottolini nor Dr. Platter
17 found that Plaintiff had handling limitations. Tr. 102-03; 109-110; 121-22; 131-
18 33.

19 While the contrary opinion of a non-examining medical expert does not
20 alone constitute a specific, legitimate reason for rejecting a treating or examining
21 physician's opinion, a non-examining physician's opinion may constitute
22

23 ²Dr. Shanks also checked the boxes indicating bending, climbing, crouching,
24 pulling, pushing, reaching and stooping. Tr. 287. Plaintiff's RFC incorporated
25 most of these limitations by restricting Plaintiff to more than occasional postural
26 activities; no climbing ladders, ropes or scaffolds and no crawling; no more than
27 occasional overhead reaching with the left arm and no overhead reaching with the
28 right. Tr. 34.

1 substantial evidence when consistent with other independent evidence in the
2 record. *Magallanes*, 881 F.2d at 752.

3 In this case, the ALJ was free to reject the ambiguous limitation assessed by
4 Dr. Shanks in favor of the opinions from Drs. Scottolini and Platter, upon
5 providing specific and legitimate reasons supported by substantial evidence. As
6 the ALJ found, the opinions from Drs. Scottolini and Platter provided thorough
7 medical summaries, cited several medical sources and they both noted Plaintiff's
8 exaggerated pain behavior and drug-seeking behavior with multiple providers. Tr.
9 37; 99-101; 106-08; 116-18; 126-30. The reasons provided by the ALJ are
10 specific, legitimate, and supported by substantial evidence and, thus, the ALJ did
11 not err in finding Plaintiff did not have handling restrictions.

12 Plaintiff also argues that the ALJ's reliance upon Drs. Scottolini and Platter
13 is error because those opinions were provided "without the benefit of significant
14 evidence of record."³ ECF No. 13 at 12. Similarly, Plaintiff contends that the ALJ
15 erred by failing to incorporate non-exertional limitations assessed by Nathan
16 Henry, Psy.D.⁴ ECF No. 13 at 12. However, for both contentions Plaintiff fails to

17 ³Plaintiff provides only two supporting statements for his argument:
18

19 Plaintiff contends that the ALJ's reliance on the opinions of non-
20 examining state agency physicians is misplaced because the state
21 agency review was completed without the benefit of significant
22 evidence of record. (See Tr. 37). The Court will note that these
23 opinions were issued in December 2010 and March 2011.

24 ECF No. 13 at 12.

25 ⁴Plaintiff's entire argument for this issue consists of a single sentence:
26 "Plaintiff also finds fault with the ALJ's failure to incorporate restrictions as given
27 by Dr. Henry in terms of Plaintiff's mental impairments and the ability to deal with
28 supervisors and respond appropriately to change in the work setting." ECF No. 13
at 12.

1 identify the significant records, fails to provide citation to the record and
2 explanations related to the assertion and the alleged error, and fails to provide
3 meaningful argument to support his stated issues. In short, Plaintiff fails to provide
4 necessary analysis to support the bare assertions and, thus, the court will not
5 address these contentions. *See Carmickle*, 533 F.3d at 1161 n.2; *Greenwood*, 28
6 F.3d at 977.

7 **2. Sedentary restriction**

8 Plaintiff contends that the ALJ erred by failing to limit Plaintiff to the
9 sedentary exertional level. ECF No. 13 at 12. In support of his argument, Plaintiff
10 cites a February 13, 2012, DSHS assessment completed by CHAS Clinic provider,
11 Rogello Cantu, PA-C. ECF No. 13 at 12; Tr. 591-93. For reasons not fully
12 explained, Mr. Cantu's evaluation was submitted as an exhibit to the Appeals
13 Council and thus, was not considered by the ALJ. Tr. 5.

14 On February 13, 2012, Mr. Cantu completed a DSHS functional assessment
15 on a check-the-box form. Tr. 591-92. In the form, Mr. Cantu indicated that
16 Plaintiff's condition is stable, his limitations are expected to last 12 months, he can
17 stand for one-to-two hours, sit for two-to-four hours in an eight-hour day, and he
18 can lift five pounds occasionally and two pounds frequently. Tr. 591. Mr. Cantu
19 also added that Plaintiff should be able to participate in sedentary pre-employment
20 activities. Tr. 592.

21 "[W]hen the Appeals Council considers new evidence in deciding whether
22 to review a decision of the ALJ, that evidence becomes part of the administrative
23 record, which the district court must consider when reviewing the Commissioner's
24 final decision for substantial evidence." *Brewes v. Comm'r of Soc. Sec. Admin.*,
25 682 F.3d 1157, 1163 (9th Cir. 2012). As a result, this Court must consider the
26 record as whole, including evidence never before the ALJ and determine whether
27 that evidence substantially supports the ALJ's decision. *See Id.* In other words,
28 this Court determines if Mr. Cantu's February 2012 assessment deprives the ALJ's

1 decision of substantial support.

2 Mr. Cantu's opinion that Plaintiff is limited to sedentary work does not
3 undermine the ALJ's determination because it is contradicted by substantial
4 evidence in the record. For example, on May 27, 2010, William Shanks, M.D.,
5 examined Plaintiff and reviewed X-rays and an MRI of Plaintiff's shoulder. Tr.
6 291. He agreed with the MRI report interpretation from Gregory Stern, M.D., who
7 found mild tendonitis of the rotator cuff, and degenerative changes of the AC joint
8 in the right shoulder, with an element of clinical impingement syndrome in the
9 shoulder, and possible nerve root irritation in the cervical area. Tr. 291-92. Dr.
10 Shanks recommended Plaintiff seek treatment at a spine clinic for his back issue,
11 and use conservative treatment, such as anti-inflammatories along with exercises,
12 for his shoulder pain. Tr. 291. Dr. Shanks concluded that Plaintiff's work level
13 was "light or sedentary." Tr. 287.

14 On November 30, 2010, consulting physician Alfred Scottolini, M.D.,
15 reviewed Plaintiff's medical records and found that he could occasionally lift
16 and/or carry 20 pounds, frequently lift and/or carry 10 pounds, and stand and/or
17 walk or sit for about six hours in an eight-hour workday. Tr. 102.

18 On February 17, 2011, consulting physician Howard Platter, M.D., reviewed
19 Plaintiff's medical records to that date and affirmed Dr. Scottolini's assessment
20 that Plaintiff could perform light work, but added that Plaintiff had additional
21 limitations in left and right overhead reaching. Tr. 121-23.

22 In evaluating the weight to be given to the opinion of medical providers,
23 Social Security regulations distinguish between "acceptable medical sources" and
24 "other sources." Acceptable medical sources include, for example, licensed
25 physicians and psychologists, while other non-specified medical providers, such as
26 physician's assistants, are considered "other sources." 20 C.F.R. §§ 404.1513(a)
27 and (d), 416.913(a) and (d), and SSR 06-03p. While an adjudicator must consider
28 all relevant evidence in a claimant's case record, less weight may be assigned to

1 the opinions of other sources than acceptable medical sources. *Gomez v. Chater*,
2 74 F.3d 967, 970 (9th Cir. 1996); SSR 06-03p. Additionally, the opinions of a
3 specialist about medical issues related to his or her area of specialization are given
4 more weight than the opinions of a nonspecialist. *Smolen*, 80 F.3d at 1285, citing
5 20 C.F.R. § 404.1527(c)(5).

6 In this case, the opinion of Dr. Shanks, a specialist in orthopedics, provided
7 weighty support to the ALJ's determination that Plaintiff was capable of
8 performing light work. Dr. Shanks' opinion that Plaintiff could perform light work
9 was supported by subsequent opinion from reviewing physicians Scottolini and
10 Platter. In light of the substantial evidence that supported the ALJ's determination
11 that Plaintiff could perform light work, Mr. Cantu's check-the-box form
12 considered by the Appeals Council does not undermine the ALJ's decision that
13 Plaintiff was capable of light work.

14 **C. Hypothetical and Vocational Expert Testimony**

15 Plaintiff argues that the hypothetical posed to the VE did not fully represent
16 Plaintiff's limitation that he can only occasionally finger and handle objects. ECF
17 No. 13 at 14-15.

18 The hypothetical that ultimately served as the basis for the ALJ's
19 determination, i.e., the hypothetical that is predicated on the ALJ's final RFC
20 assessment, must account for all of the limitations and restrictions of the particular
21 claimant. *Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228 (9th Cir.
22 2009). "If an ALJ's hypothetical does not reflect all of the claimant's limitations,
23 then the expert's testimony has no evidentiary value to support a finding that the
24 claimant can perform jobs in the national economy." *Id.* However, the ALJ "is
25 free to accept or reject restrictions in a hypothetical question that are not supported
26 by substantial evidence." *Greger v. Barnhart*, 464 F.3d 968, 973 (9th Cir. 2006).
27 A claimant fails to establish that a Step 5 determination is flawed by simply
28 restating argument that the ALJ improperly discounted certain evidence, when the

1 record demonstrates the evidence was properly rejected. *Stubbs-Danielson*, 539
2 F.3d 1169, 1175-76 (9th Cir. 2008).

3 In this case, Plaintiff simply reiterates his argument that the ALJ failed to
4 incorporate the ambiguous handling restrictions assessed by Dr. Shanks in the
5 check-the-box form. Tr. 287. Because the ALJ did not err by failing to include
6 handling restrictions, as analyzed above, the Plaintiff's argument that the
7 hypothetical was incomplete is unavailing.

8 CONCLUSIONS AND RECOMMENDATIONS

9 The Court has reviewed the record and considered the briefing by the
10 parties. For the reasons stated above, **IT IS RECOMMENDED** that Defendant's
11 Motion for Summary Judgment, **ECF No. 14**, be **GRANTED** and Plaintiff's
12 Motion for Summary Judgment, **ECF No. 13**, be **DENIED**.

13 OBJECTIONS

14 Any party may object to a magistrate judge's proposed findings,
15 recommendations, or report within **fourteen (14) days** following service with a
16 copy thereof. Such party shall file written objections with the Clerk of the Court
17 and serve objections on all parties, specifically identifying the portions to which
18 objection is being made, and the basis therefor. Any response to the objection
19 shall be filed within fourteen (14) days after receipt of the objection. Attention is
20 directed to FED. R. CIV. P. 6(d), which adds additional time after certain kinds of
21 service.

22 A district judge will make a *de novo* determination of those portions to
23 which objection is made and may accept, reject, or modify the magistrate judge's
24 determination. The judge need not conduct a new hearing or hear arguments and
25 may consider the magistrate judge's record and make an independent
26 determination thereon. The judge may, but is not required to, accept or consider
27 additional evidence, or may recommit the matter to the magistrate judge with
28 instructions. *United States v. Howell*, 231 F.3d 615, 621 (9th Cir. 2000); 28 U.S.C.

1 § 636(b)(1)(B) and (C), FED. R. CIV. P. 72; LMR 4, Local Rules for the Eastern
2 District of Washington.

3 A magistrate judge's recommendation cannot be appealed to a court of
4 appeals; only the district judge's order or judgment can be appealed.

5 The District Court Executive is directed to enter this report and forward
6 copies to the parties and the referring judge.

7 DATED October 2, 2014.



A handwritten signature in black ink, appearing to be "M", is written over a horizontal line.

JOHN T. RODGERS
UNITED STATES MAGISTRATE JUDGE